AP15 Rec'd PCT/PTO 2 7 SEP 2006

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390 MODIFIED 2590-169 U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/IB2005/051049 29 March 2005 30 March 2004 TITLE OF INVENTION LIGHT PHASE MODULATOR APPLICANT(S) FOR DO/EO/US MOSELUND ET AL Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. \Box This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371. 冈 This is an express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include 3. items (5), (6), (9) and (21) indicated below. The U.S. has been elected (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2). WO 2005/096076 A1 is attached hereto (10 pages specification, claims & abstract (8 claims), 7 sheets drawings). a. has been communicated by the International Bureau. b. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(3) is attached hereto (pages specification, claims & abstract (claims), sheets drawings, page a. Certificate of Translation). has been previously submitted under 35 U.S.C. 154(d)(4). b. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3) are attached hereto (required only if not communicated by the International Bureau). a. have been communicated by the International Bureau. b. c. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. \Box d. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4). a. Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 10. 🔲 U.S.C. 371(c)(5). Items 11 To 20 below concern document(s) or information included: An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 11. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 12. П A FIRST preliminary amendment. 13. M a. A SECOND or SUBSEQUENT preliminary amendment. b. 14. An Application Data Sheet under 37 C.F.R. § 1.76. 15. A substitute specification. 16. A change of power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. \boxtimes Other items or information. PCT/IB/308(Second and Supplementary Notice)

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The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any C. overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed. CREDIT CARD PAYMENT FORM ATTACHED. d. The entire content of International Application No. PCT/IB2005/051049 and any U.S. and foreign application(s) corresponding e. thereto, and CH PCT/CH2004/000197, referred to in this application is/are hereby incorporated by reference in this application. NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the application to pending status. **CORRESPONDENCE ADDRESS** Direct all correspondence to: **Customer Number:** 23117 Type Customer Number here Telephone: (703) 816-4000 Duane M. Byers NAME DMB:Imy September 27, 2006 33,363